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Art Group 1614 U.S. Patent and Trademark Office		(703) 872-9306

From: Richard San Pietro

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Sender's Direct Dial: 858.847.6717

Date: 1/14/2004

App. Serial Number: 10/650,262

Client/Matter No: 051091-2101

User ID No: 0079

## **OFFICIAL**

Transmitted herewith for filing in the above patent application are the following. Thank you.

- 1. Transmittal (1 page);
- Information Disclosure Statement (2 pages); and
- PTO Form SB/08 (1 page).

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Atty. Dkt. No. 051091-2101

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Yerramilli V.S.N. Murthy, et al.

Title:

METHODS FOR THE CONTROLLED

DELIVERY OF

PHARMACOLOGICALLY ACTIVE

COMPOUNDS

Appl. No.:

10/650,262

Filing Date: 08/27/2003

Examiner:

Unknown

Art Unit:

1614

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office, Alexandria, Virginia on the date below. (703.872.9306) Line Gauthier

#### **TRANSMITTAL**

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing in the above application are the following:

- Information Disclosure Statement; and
- 2) PTO Form SB/08.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872.

Jan. 14, 2004

Richard J. Warburg **FOLEY & LARDNER** Customer Number

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Respectfully submitted,

Richard San Pietro Attorney for Applicant Registration No. 45,071

Atty. Dkt. No. 051091-2101

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant:

Yerramilli V.S.N. Murthy, et al.

Title:

METHODS FOR THE

CONTROLLED DELIVERY OF PHARMACOLOGICALLY ACTIVE COMPOUNDS

Appl. No.:

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Filing Date:

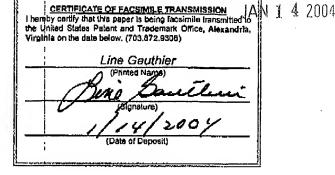
08/27/2003

Examiner:

Unknown

Art Unit:

1614



# <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.56</u>

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.

Atty. Dkt. No. 051091-2101

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

# RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit

MODIFIED PTO/SB/08 (08-00) Approved for use through 10/31/2002, OMB 0651-0031

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Substitute for form 14498/PTO INFORMATION DISCLOSURE		: Complete If Known				
		Application Number	10/650,262			
	STATEMEN	IT BY APPLIC	CANT	Filing Date	08/27/2003	•••
Date Submitted: December 4, 2003		First Named Inventor	Yerramilli V.Ş.N. Murthy	······································		
		Group Art Unit	1614			
	(use as many :	sheets as nec	cessary)	Examiner Name		
Sheet	1	of	1	Attorney Docket Number	051091-2101	

			U.S. PATE	NT DOCUMENTS		
Examiner Initials*	Cite No.1	U.S. Patent Doc	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Ciled Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant
***	A1	6,660, 278	<del> </del>	Larsson et al.	12/09/2003	Figures Appea
	A2	6,403,057		Schneider et al.	06/11/2002	
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023.247830.1	
Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>9</sup>Applicant is to place a check mark here if English language Translation is attached.